

FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	ATTORNEY/AGENT NUMBER PAT-01023 U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR 15) 107512035
INTERNATIONAL APPLICATION NO. PCT/EP03/04923	INTERNATIONAL FILING DATE (12.05.2003) 12. May 2003	PRIORITY DATE CLAIMED (16.05.2002) 16. May 2002	
TITLE OF INVENTION: AQUEOUS PIGMENT PASTES CONTAINING MICA PIGMENTS AND THE USE THEREOF FOR PRODUCING AQUEOUS, DECORATIVE-EFFECT COATINGS			
APPLICATION(S) FOR DO/EO/US: Götz-Ekkehard SAPPER and Anne HUPP			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include Items (5), (6), (9) and (21) indicated below. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Is attached hereto. b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C 154(d)(4). <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input checked="" type="checkbox"/> An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 20. below concern document(s) or information included:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document 			

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER193000649US addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on October 20, 2004.

Marjorie Ellis
Marjorie Ellis

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.50) 107512035		INTERNATIONAL APPLICATION NO. PCT/EP03/04923	ATTORNEY'S DOCKET NUMBER PAT-01023
21 <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5)):			
Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....		\$1,110.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....		\$ 950.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO.....		\$ 790.00	
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....		\$ 750.00	
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)		\$ 100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$950.00	
Surcharge of \$130.00 for furnishing the oath or declaration later <input type="checkbox"/> 20 <input type="checkbox"/> 30 than months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
Claims		Number Filed	Number Extra
Total Claims	17 - 20 =	0	X \$ 18.00
Independent claims	01 - 03 =	0	X \$ 88.00
Multiple dependent claims(s) (if applicable)		+ \$300.00	
TOTAL OF ABOVE CALCULATION =		\$950.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are		\$	
SUBTOTAL =		\$950.00	
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE =		\$950.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
TOTAL FEES ENCLOSED =		\$950.00	
		Amount to be refunded:	\$
		Charged	\$950.00
<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>02-1201</u> in the amount of <u>\$950.00</u> to cover the above fees A triplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-1201</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>BASF CORPORATION Patent Department 26701 Telegraph Road Southfield, Michigan 48034-2442 (248) 948-2355 Customer No. 26922</p>			
 <u>10-20-04</u> SIGNATURE <u>Michael F. Morgan</u> Name <u>42,906</u> REGISTRATION NUMBER			

PATENT

10/1512035
DT01 Rec'd PCT/PTC 20 OCT 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Götz-Ekkehard SAPPER Anne HUPP	Practitioner's Docket No. PAT-01023
Serial No.: This application is a National Phase application of PCT/EP03/04923 filed 12 May 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: Aqueous Pigment Pastes Containing Mica Pigments and the Use Thereof for Producing Aqueous, Decorative-effect Coatings	I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER193000649US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below. October 20, 2004 _____ Date



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Alexandria, VA 22313-1450

<input checked="" type="checkbox"/>	Application Data Sheet
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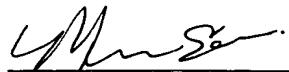
<input checked="" type="checkbox"/>	Combined Declaration and Power of Attorney
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International Preliminary Examination Report

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English Application
Specification on

11

 pages; claims on

03

 pages;
the abstract on

01

 page(s); drawings on

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 page(s).

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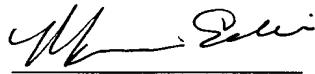
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x German Text Application
Specification on 17 pages; claims on 04 pages;
the abstract on 01 page(s); drawings on page(s).

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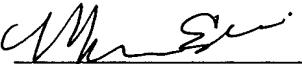
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Copy of Cover page of the Priority Document

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Copy of cover sheet from the PCT Published Application

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<input checked="" type="checkbox"/>	Information Disclosure Statement
<input checked="" type="checkbox"/>	From PTO-1449
<input checked="" type="checkbox"/>	Cited References
<input checked="" type="checkbox"/>	International Search Report
<input checked="" type="checkbox"/>	Germany International Search Report